

COMBINED DECLARATION FOR PATENT APPLICATION &
POWER OF ATTORNEY

DOCKET NO: 50623.70

As a below named inventor, I hereby declare that:

The information given herein is true;

My residence, post office address and citizenship are as stated below next to my name;

I BELIEVE I AM THE ORIGINAL, FIRST AND SOLE INVENTOR (if only one name is listed below) OR AN ORIGINAL, FIRST AND JOINT INVENTOR (if plural names are listed below) OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED:

Permeabilizing Reagents To Increase Drug Delivery And A Method Of Local Delivery

the specification of which (check only one item below):

- ☒ is attached hereto;
- ☐ was filed on _____ as United States
Application Serial No. _____
and was amended on _____ (if applicable).
- ☐ was filed on _____ as PCT International
Application Serial No. _____
and was amended under PCT Article 19 _____ (if applicable).

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations Section 1.56(a).

I hereby claim the benefit under Title 35, United States, §119(e) of any United States provisional application(s) listed below.

(Application Serial No.)

(Filing Date)

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America having a filing date before that of the application(s) on which priority is claimed.

FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 (6 if a Design) MONTHS PRIOR TO THE FILING DATE OF THIS APPLICATION THE PRIORITY OF WHICH WHERE PERMITTED IS HEREBY CLAIMED UNDER 35 U.S.C. SEC. 119.

COUNTRY	APPLICATION OF NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED	
				<input type="text"/>	<input type="text"/>
				<input type="text"/>	<input type="text"/>
				<input type="text"/>	<input type="text"/>

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Divisional Application

Docket No. 50623.70 Copy from USSN 09/997,706			ATTORNEY'S DOCKET NO: 50623.70	
COMBINED DECLARATION FOR PATENT APPLICATION & POWER OF ATTORNEY - Continued				
U.S. APPLICATION NO.	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS		
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Victoria Nicholson, Reg. No. 47,823; Paul Meyer, Reg. No. 47,791; David A. Levine, Reg. No. 48,821; Victor Repkin, Reg. No. 45,039; Aaron Winger, Reg. No. 45,229; Paul Durdik, Reg. No. 37,819; Fariba Sirjani, Reg. No. 47,947; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lori Howland, Reg. No. 42,671; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265				
Send correspondence to		Squire, Sanders & Dempsey L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111		Direct Phone Calls To: Cameron Kerrigan: 415 954-0323
1	FULL NAME OF INVENTOR	LAST NAME Roorda	FIRST NAME Wouter	MIDDLE NAME E.
	RESIDENCE & CITIZENSHIP	CITY Palo Alto	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP Netherlands
	POST OFFICE ADDRESS	STREET 36 Roosevelt Circle	CITY Palo Alto	STATE OR COUNTRY California
2	FULL NAME OF INVENTOR	LAST NAME Bhat	FIRST NAME Vinayak	MIDDLE NAME D.
	RESIDENCE & CITIZENSHIP	CITY Sunnyvale	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP India
	POST OFFICE ADDRESS	STREET 659 West Homestead Road, Apt. 3	CITY Sunnyvale	STATE OR COUNTRY California
3	FULL NAME OF INVENTOR	LAST NAME Consigny	FIRST NAME Paul	MIDDLE NAME M.
	RESIDENCE & CITIZENSHIP	CITY Morgan Hill	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP USA
	POST OFFICE ADDRESS	STREET 605 Jarvis Drive	CITY Morgan Hill	STATE OR COUNTRY California
4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY
I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.				
Signature Of Inventor 1		Signature Of Inventor 2		Signature Of Inventor 3
DATE 10/25/01		DATE		DATE 10/30/01
				DATE

Divisional Application

Docket No. 50623.349

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COMBINED DECLARATION FOR PATENT APPLICATION & POWER OF ATTORNEY – Continued				ATTORNEY'S DOCKET NO: 50623.70	
U.S. APPLICATION NO.		U.S. FILING DATE		PATENTED	
				PENDING	
				ABANDONED	
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.		PCT FILING DATE		U.S. SERIAL NUMBERS	
<p>POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.</p> <p>Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Victoria Nicholson, Reg. No. 47,823; Paul Meyer, Reg. No. 47,791; David A. Levine, Reg. No. 48,821; Victor Repkin, Reg. No. 45,039; Aaron Wininger, Reg. No. 45,229; Paul Durdik, Reg. No. 37,819; Farib Sirjani, Reg. No. 47,947; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lori Howland, Reg. No. 42,671; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265</p>					
Send correspondence to		Squire, Sanders & Dempsey L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111		Direct Phone Calls To: Cameron Kerrigan: 415 954-0323	
1	FULL NAME OF INVENTOR	LAST NAME Roorda	FIRST NAME Wouter	MIDDLE NAME E.	
	RESIDENCE & CITIZENSHIP	CITY Palo Alto	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP Netherlands	
	POST OFFICE ADDRESS	STREET 36 Roosevelt Circle	CITY Palo Alto	STATE OR COUNTRY California	ZIP CODE 94306
2	FULL NAME OF INVENTOR	LAST NAME Bhat	FIRST NAME Vinayak	MIDDLE NAME D.	
	RESIDENCE & CITIZENSHIP	CITY Sunnyvale	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP India	
	POST OFFICE ADDRESS	STREET 659 West Homestead Road, Apt. 3	CITY Sunnyvale	STATE OR COUNTRY California	ZIP CODE 94087
3	FULL NAME OF INVENTOR	LAST NAME Consigny	FIRST NAME Paul	MIDDLE NAME M.	
	RESIDENCE & CITIZENSHIP	CITY Morgan Hill	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP USA	
	POST OFFICE ADDRESS	STREET 605 Jarvis Drive	CITY Morgan Hill	STATE OR COUNTRY California	ZIP CODE 95054
4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
<p>I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.</p>					
Signature Of Inventor 1		Signature Of Inventor 2		Signature Of Inventor 3	
DATE		DATE 11/06/01		DATE	



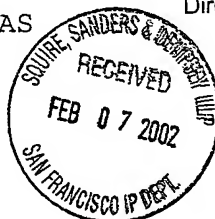
UNITED STATES
PATENT AND
TRADEMARK OFFICE

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Docket No. 50623.349
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50623.70

JANUARY 29, 2002

PTAS
SQUIRE, SANDERS & DEMPSEY L.L.P.
CAMERON KERRIGAN
ONE MARITIME PLAZA SUITE 300
SAN FRANCISCO, CA 94111



Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/30/2001

REEL/FRAME: 012341/0037
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ROORDA, WOUTER E..

DOC DATE: 10/25/2001

ASSIGNOR:
BHAT, VINAYAK D.

DOC DATE: 11/06/2001

ASSIGNOR:
CONSIGNY, PAUL M.

DOC DATE: 10/30/2001

ASSIGNEE:
ADVANCED CARDIOVASCULAR SYSTEMS,
INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 09997706
PATENT NUMBER:

FILING DATE: 11/30/2001
ISSUE DATE:

012341/0037 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

12-07-2001

50623.70

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)

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Divisional Application DEPARTMENT OF COMMERCE

Pocket No. 50623.349 U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Wouter E. Roorda
2. Vinayak D. Bhat
3. Paul M. Consigny

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.Address: 3200 Lakeside Drive, Santa Clara CA 95054

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐

Execution Date: 1. 10/25/01; 2. 11/06/01; 3. 10/30/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: November 30, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09/997706

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Cameron Kerrigan (44,826)

Squire, Sanders & Dempsey L.L.P.

Address: One Maritime Plaza Suite 300City: San Francisco State: CA Zip: 941116. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number:
07-1850

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cameron Kerrigan

Name of Person Signing

Signature

November 30, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

12/06/2001 LMJELLER 00000131 071850 09997706

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#54956

JC972 U.S. PTO
09/997706

11/30/01

ASSIGNMENT

- (1-8) *Insert Names of Inventor*
- (1) Wouter E. Roorda
- (2) Vinayak D. Bhat
- (3) Paul M. Consigny

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

- (9) *Insert name of Assignee* (9) Advanced Cardiovascular Systems, Inc.
- (10) *Insert state of incorporation of Assignee* (10) California
- (11) *Insert address of Assignee* (11) of: 3200 Lakeside Drive, Santa Clara, CA 95054 (hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as
- (12) *Insert Identification of Invention, such as Title, Case Number or Foreign Application Number* (12) Permeabilizing Reagents To Increase Drug Delivery And A Method Of Local Delivery
(Case No. 50623.70 (Serial No.) for which the undersigned has (have) executed an application for patent in United States of America
- (13) *Insert Date of Signing of Application* (13) on _____

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) *The undersigned hereby grant(s)*

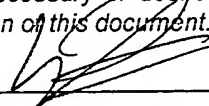
Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265; Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Victoria Nicholson, Reg. No. 47,823; Paul Meyer, Reg. No. 47,791; David A. Levine, Reg. No. 48,821; Victor Repkin, Reg. No. 45,039; Aaron Wininger, Reg. No. 45,229; Paul Durdik, Reg. No. 37,819; Fariba Sirjani, Reg. No. 47,947

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Attorney Docket No. 50623.70

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date 10/25/01

Name of Inventor 

Date _____

Name of Inventor _____

Date 10/30/01

Name of Inventor Paul M. Conroy

Divisional Application
Docket No. 50623.349
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Attorney Docket No. 50623.70

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date 11/06/07

Name of Inventor VINAYAK D. BHAT

Date _____

Name of Inventor _____

Date _____

Name of Inventor _____